SECOND REQUESTS
HIGH VOLUME. SHORT TIMELINES. HIGH STAKES.

EXPERTS WITH IMPACT™
FTI Technology Understands Second Requests

Spanning massive data sets, multiple custodians and global locations, Hart–Scott-Rodino (HSR) Second Requests can easily overwhelm an organization—pushing a legal team outside of its comfort zone to face unfamiliar challenges. And when the penalty is a large fine or even a failed merger, the stakes cannot be higher.

FTI TECHNOLOGY UNDERSTANDS SECOND REQUESTS

FTI Technology has successfully completed dozens of Second Requests, including more than 35 from FY2014-FY2018, and we are a tested and proven guide through the Second Request process. We have designated Second Request teams, deep experience with the relevant regulatory bodies and a vetted Second Request playbook that incorporates our leading-edge technology and workflows. And we marry this experience with the flexibility and ingenuity necessary to handle the unique characteristics of each client’s project. FTI’s experience and technology help ensure timely and accurate productions to antitrust regulators every time.
Second Request Offerings from FTI Technology

SERVICES
FTI creates a complete Second Request service for firms and corporations. Starting with initial meetings with the legal teams to determine the scope and format of the project, the FTI team then develops and executes on required deadlines and review workflows. The team also performs complex data collection, processing and hosting as well as multiparty production throughout the life of the project.

SOFTWARE
FTI Technology can leverage your preferred e-discovery platform to provide a flexible and cost-effective solution for your Second Request. Our team is experienced with a broad range of legal review software and can use your platform’s data analytics and predictive coding functionality to quickly find relevant documents. If appropriate, FTI Technology can also utilize its own analytics software to supplement any software and help ensure an efficient review. To help clients respond to Second Requests, FTI Technology has expertise in providing:

• Advanced analytics and predictive coding to streamline and shorten review time
• Support for complex, rolling productions that fit the latest production requirements from the FTC and the DOJ
• Support for review of exotic data types, including social media and mobile device data alongside more traditional e-mail and document collections

TEAM
The FTI Second Request organization includes authorities on Second Request law and procedure, expert technologists, and a skillful team of consultants and reviewers that work around the globe. The FTI team, including our M&A consulting arm as well as the Second Request team, has established relationships with relevant regulatory bodies built of the sheer number of projects and successful outcomes that we have engineered for clients with both the FTC and DOJ. Our commitment to collaboration, visibility and superior communication with all parties involved combined with our nuanced understanding of each step of the Second Request process makes our team unparalleled in the space.
## CASE STUDY

### FTI IN ACTION ON A COMPLEX SECOND REQUEST

**WHO:**
Large defense contractor

**WHAT:**
Collect, process, review and produce documents for Second Request.

**SOLUTION:**
Nearly two terabytes of data located in five different countries including the EU. International Traffic in Arms (ITAR) Regulations required multilingual US citizens to conduct review.

**OUTCOME:**
FTI team advised on data privacy then collected and processed close to two TB of data. FTI then used advanced analytics to cull the data set from 5.4 Million to 2.6 Million documents. Predictive coding further reduced the population to 700,000 documents. Using multilingual review attorneys, FTI produced 480,000 documents within eight weeks, meeting all deadlines imposed by the government. The project came in under budget and FTI’s client saved over $1 million.

### COLLECTION & EXTRACTION

- **1.77 Terabytes of data**
- **5.4 Million documents**
- **2.6 Million documents**
- **480,000 documents**

**COMPLICATION:**
Data Privacy Requirements

**COMPLICATION:**
Regulatory Restrictions on Data Transfers

**DATA PROCESSING**
FTI used advanced analytics software to cull the data set from 5.4 million to 2.6 million documents.

**REVIEW**
Early Case Assessment Tools, Concept Clustering, Data Analytics, Predictive Coding, Statistical Validation and Quality Control further reduced the population of documents.

**PRODUCTION**
FTI ultimately shrank the data set to less than 10% of its original size and produced the remaining documents in 13 rolling productions.

**THE RESULT:**
FTI’s Efficiencies Save Client $1 Million

**ADVANCED ANALYTICS HELP:**
Find Relevant Documents Quickly
Second Request Services Include:

| Guidance on the latest data requirements from the DOJ and FTC, including deduplication methodology, predictive coding, advanced analytics and keyword generation |
| Review workflow design and managed review on software platform of client’s choice |
| Presentations to government audiences to satisfy questions about process, technological background and review tactics |
| Local review teams around the globe |
| Assistance with interrogatory responses and other HSR-specific communications |
| Non-U.S. jurisdiction services including non-English language review and navigation of local regulations and customs |
| Global, forensically sound collection of all data types from all sources |
| Detailed communication including daily status reports |
| Powerful data processing and culling of irrelevant documents |
| Rolling productions keyed to agency demands and timelines |
With FTI as your Second Request partner, you get a combination of tailored services, trusted technology, and seasoned experts.
About FTI Technology

FTI Technology solves data-related business challenges, with expertise in legal and regulatory matters. As data grows in size and complexity, we help organizations better govern, secure, find, analyze and rapidly make sense of information. Innovative technology, expert services and tenacious problem-solving provide our global clients with defensible and repeatable solutions. Organizations rely on us to root out fraud, maintain regulatory compliance, reduce legal and IT costs, protect sensitive materials, quickly find facts and harness organizational data to create business value. For more information, please contact us at ftitechsales@fticonsulting.com or visit www.ftitechnology.com.
About FTI Consulting

FTI Consulting is an independent global business advisory firm dedicated to helping organizations manage change, mitigate risk and resolve disputes: financial, legal, operational, political & regulatory, reputational and transactional. FTI Consulting professionals, located in all major business centers throughout the world, work closely with clients to anticipate, illuminate and overcome complex business challenges and opportunities. For more information, visit www.fticonsulting.com and connect with us on Twitter (@FTIConsulting), Facebook and LinkedIn.

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